Activation of epithelial-mesenchymal transition process during breast cancer progression – the impact of molecular subtype and stromal composition

Aleksandra Markiewicz¹, Justyna Topa¹, Marta Popęda¹, Jolanta Szade², Jarosław Skokowski¹3,4,5, Marzena Welnicka-Jaskiewicz⁴ and Anna J. Żaczekek¹

¹Laboratory of Translational Oncology, Intercollegiate Faculty of Biotechnology, University of Gdańsk and Medical University of Gdańsk, Gdańsk, Poland; ²Department of Pathomorphology, Medical University of Gdańsk, Gdańsk, Poland; ³Department of Surgical Oncology, Medical University of Gdańsk, Gdańsk, Poland; ⁴Department of Medical Laboratory Diagnostics-Biobank, Medical University of Gdańsk, Gdańsk, Poland; ⁵Biobanking and Biomolecular Resources Research Infrastructure Gdańsk, Poland; ⁶Department of Oncology and Radiotherapy, Medical University of Gdańsk, Gdańsk, Poland

Breast cancer (BC) is a heterogeneous disease with different molecular subtypes, which can be defined by oestrogen (ER), progesterone (PR) and human epidermal growth factor (HER2) receptors’ status as luminal, HER2+ and triple negative (TNBC). Molecular subtypes also differ in their epithelial-mesenchymal phenotype, which might be related to their aggressiveness, as activation of the epithelial-mesenchymal transition (EMT) is linked with increased ability of cancer cells to survive and metastasize. Nevertheless, the reverse process of mesenchymal-epithelial transition was shown to be required to sustain metastatic colonization. In this study we aimed to analyse activation of the EMT process in primary tumours (PT), which have (N+) or have not (N−) colonized the lymph nodes, as well as the lymph nodes metastases (LNM) themselves in 88 BC patients. We showed that luminal N− PT have the lowest activation of the EMT process (27%), in comparison to N+ PT (48%, p=0.06). On the other hand, TNBC do not show statistically significant EMT activation at the stage before lymph colonization (N−, 83%) and after colonization of the lymph nodes (N+, 63%, p=0.58). TNBC are also the least plastic (unable to change the EMT phenotype) in terms of turning EMT on and off between matched PT and LNM (0% EMT plasticity in TNBC vs 36% plasticity in luminal tumours). Moreover, in TNBC activation of EMT was correlated with increased cell division rate of the PT—mesenchymal TNBC PT median Ki-67 was 45% in comparison to 10% in epithelial TNBC PT (p=0.002), whereas in PT of luminal subtypes Ki-67 did not differ between epithelial and mesenchymal phenotypes. Profiling of immunotranscriptome of epithelial and mesenchymal luminal BC with Nanostring technology revealed that N− PT with epithelial phenotype were enriched in inflammatory response signatures, whereas N+ mesenchymal cancers showed elevated MHC class II antigen presentation. Overall, activation of EMT changes during cancer progression and metastatic colonization of the lymph nodes depending on the PT molecular subtype and is related to differences in stromal signatures. Activation of EMT is associated with colonizing phenotype in luminal PT and proliferative phenotype of TNBC.

Key words: breast cancer, epithelial-mesenchymal transition, molecular subtypes, metastasis

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(Thiery, 2002). Ability to invade surrounding tissues, a feature characteristic for invasive cancers, is increased in tumour cells with activated EMT program (Sánchez-Tilló et al., 2011; Lamouille et al., 2014). Undergoing EMT and the reverse process of mesenchymal-epithelial transition (MET) is regulated by the action of EMT transcription factors (TF) and miRNA. Some TF promote EMT (such as Twist-related protein 1 – TWIST1, Zinc finger proteins SNAI1 and SNAI2, also referred to as SNAIL and SLUG, Zinc finger E-box-binding homeobox 1 and 2 – ZEB1, ZEB2), whereas others inhibit it (eg. ovo-like transcriptional repressors 1/2, OVOL1/2, grainyhead like transcription factor 2, GRHL2) (Roca et al., 2013; Somarelli et al., 2016). Similarly, miRNA can inhibit EMT (miR-205 and miR-200 family) or promote it (miR-9 and miR-155) (Burk et al., 2008; Gregory et al., 2008; Kong et al., 2008; Gregory et al., 2011; Zhang & Ma, 2012).

Activation of EMT can also be studied in cancers by the analysis of EMT effectors (which are regulated by EMT TF/miRNAs) – downregulation of epithelial markers (e.g. E-cadherin, claudins, ocludins) and up-regulation of mesenchymal markers (e.g. vimentin, N-cadherin, fibronectin) (Jeclinger et al., 2003; Mani et al., 2008; Moreno-Bueno et al., 2008). It was also recognised that activation of EMT in cancer cells upregulates stem cell-like features and leads to therapy resistance (Mani et al., 2008). Despite contribution of EMT to the metastatic dissemination, EMT process needs to be reversed via MET in order to allow metastatic colonization at a distant site (Gao et al., 2012; Ocana et al., 2012). This means that EMT activation is crucial for dissemination, but MET is required for re-establishing epithelial phenotype and colonization of a new niche (Aiello & Kang, 2019). Therefore, transition between EMT and MET should provide plasticity necessary for dissemination from the primary tumour and colonization of a distant site. To test how EMT status of BC changes during metastatic progression, we have analysed EMT activation in non-colonizing PT (N–), colonizing PT (N+) and matched LNM, all in the context of two BC molecular subtypes (luminal and TNBC), which are known to differ in their EMT status.

MATERIALS AND METHODS

Patients and tested samples

Primary tumours (PT) of luminal and triple negative molecular subtypes and non-lobular histology (N=88), and matched lymph node metastases (LNM, N=41) from 88 non-metastatic BC patients were investigated. Patients were treated at the Medical University Hospital in Gdańsk between 2011 and 2013 according to the current standard of care. PT and LNM were removed during surgery and evaluated by a pathologist, followed by formalin fixation and paraffin embedding (FFPE), as described before (Markiewicz et al., 2014). Staging was performed according to the classification of American Joint Committee on Cancer version 7 staging manual, and tumour grade was assessed according to the modified Bloom-Richardson system. Molecular subtype was assessed according to St Gallen criteria (Goldhirsch et al., 2011) using oestrogen (ER) and progesterone (PR) receptors' status analysed by IHC and Allred scoring system; human epidermal growth factor receptor (HER2) status was analysed by immunohistochemistry (IHC) and fluorescent in situ hybridization in inconclusive cases (2+ IHC staining) and Ki-67. The ER, PR, and HER2 status were analysed during routine pathological examination of the samples, Ki-67 was tested by IHC on tissue microarrays (clone MIB-1, Dako, Copenhagen, Denmark), as described before (Markiewicz et al., 2014). All luminal tumours (luminal A, luminal B) were combined into one group, further described as the luminal subtype. Median age of the patients was 61 years and median follow up time (overall survival) was 4.1 years. Fifty-one percent (45/88) of the patients had LNM (detailed clinico-pathological characteristics of patients are presented in Table S1 at https://ojs.ptbioch.edu.pl/index.php/abp/). The study was accepted by the Independent Ethics Committee of the Medical University of Gdańsk.

Immunohistochemical analysis of PT and LNM

Whole FFPE sections of PT and LNM were subjected to IHC staining of E-cadherin (clone NCH 38, Dako), N-cadherin (clone 6G11, Dako) and Vimentin (VIM; clone V9, Dako), as described and presented in our previous work (Markiewicz et al., 2014). Activation of EMT (mesenchymal status of a sample) was defined as either E-cadherin loss in at least 10% of the cancer cells or acquisition of N-cadherin or VIM in at least 10% of the cancer cells in the evaluated PT/LLN section. All three markers (E-cadherin, N-cadherin, VIM) had to be evaluated to assign EMT status of a sample, either epithelial (EPI) or mesenchymal (MES). Stroma content was assessed in tissue microarrays (TMA) comprised of five 1-mm diameter tumour samples per each patient (Markiewicz et al., 2014) based on hematoxylin-soin staining. For each specimen, the maximum record of stroma content out of all evaluated and informative tissue cores was assigned for further analysis.

Immune-related transcriptome profiling with nCounter technology

Transcriptome analysis was performed for N- PT (N=11, including 7 with epithelial and 4 with mesenchymal status), N+ PT (N=23, including 10 with epithelial and 13 with mesenchymal status) and LNM (N=11, including 7 with epithelial and 4 with mesenchymal status) fragments, as previously described (Ppedo et al., 2019). In brief, total RNA was extracted from FFPE blocks with RNaseasy Mini Kit (Qiagen, Germantown, USA), followed by preamplification and measurement of 730 immune-related genes' expression (nCounter PanCancer Immune Profiling Panel, NanoString Technologies, Seattle, WA, USA). Background correction and normalization were conducted with the nSolver 4.0 software (NanoString Technologies) according to the manufacturer's recommendations. Following low-expression gene filtering (global log2 mean count < 6), 593 genes were included in the final analysis (Ppedo et al., 2021). The NanoString platform is highly comparable with golden standard gene expression approach – RT-qPCR, and it might even outperform it on low-quality material like FFPE samples (Reis et al., 2011; Veldman-Jones et al., 2015). Raw expression data were submitted to NCBI GEO database under GSE180186 accession number.

STATISTICAL ANALYSIS

Data were analysed using the R statistical environment (version 3.6.1), GraphPad online tool and STATISTICA software (version 13.0, Statsoft, Cracow, Poland). Results were visualized with GraphPad Prism (version 8, Graph-
Pad Software, Inc., San Diego, CA, USA) licensed for Medical University of Gdańsk.

Categorical variables were compared by Pearson’s chi-squared and Fisher’s exact test. Differences between quantitative values (gene expression levels between tissues of epithelial and mesenchymal phenotype) were estimated with Mann–Whitney U test, with \( p \)-values <0.05 considered as statistically significant. Differentially expressed gene (DEG) status was inferred based on statistical significance. Genes with median-based \( \log_{2} FC \geq 1 \) were considered as up- and genes with \( \log_{2} FC \leq -1 \) as down-regulated. For each type of tissue, DEGs were associated with GO BP and Reactome terms using Functional Annotation Tool by DAVID Bioinformatics Resources 6.8 (Huang et al., 2009a; Huang et al., 2009b).

**RESULTS**

**EMT activation during metastatic progression**

EMT activation was defined as either loss of E-cadherin or expression of VIM or N-cadherin (independently of the E-cadherin status) in the IHC staining of the LNM, as well as PT which have (N+) or have not colonized the lymph nodes (N–). With these criteria, EMT activation occurred in 35% N– PT and 51% N+ PT (\( p=0.13 \)), as well as in 32% of LNM (Fig. 1A). LNM showed a decreased activation of EMT in comparison to matched PT (N+, \( p=0.07 \), Fig. 1A). When samples were analysed with subdivision into molecular subtypes, a large disproportion in the EMT activation status was noted between luminal and TNBC (27% and 83%, respectively; \( p=0.01 \), Fig. 1B) in the N– PT, but not in the N+ PT (48% in luminal and 63% in TNBC; \( p=0.70 \), Fig. 1B). In LNM, similarly to N– PT, a trend towards disproportion in EMT activation between molecular subtypes occurred (24% in luminal and 63% in TNBC, \( p=0.08 \), Fig. 1B). In other words, the data show that during metastatic progression and lymph node colonization the EMT status of cancer cells changes, but to a different degree depending on the molecular subtype of the tumour. Luminal PT are more prone to turn on EMT during cancer progression, as shown by the increase in the mesenchymal status by 21% from N– to N+ stage (\( p=0.06 \), Fig. S1A at https://ojs.ptbioch.edu.pl/index.php/abp/). For TNBC, the change in the EMT status between N– and N+ was not significant (\( p=0.58 \), Fig. S1B at https://ojs.ptbioch.edu.pl/index.php/abp/). During lymphatic colonization, luminal cancers turn off EMT – LNM showed a decreased mesenchymal status in comparison to matched PT (N+) (24% in LNM vs 48% in PT, \( p=0.04 \), Fig. S1A at https://ojs.ptbioch.edu.pl/index.php/abp/), but no change in EMT status is observed in the TNBC subtype (mes-
Table 1. Correlation between clinico-pathological data of patients and occurrence of EMT plasticity between N+PT and LNM. 

<table>
<thead>
<tr>
<th>Variable</th>
<th>Status</th>
<th>EMT plasticity</th>
<th>% of samples with EMT plasticity</th>
<th>p</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>No</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Age</td>
<td>≤50 years</td>
<td>10</td>
<td>4</td>
<td>29</td>
</tr>
<tr>
<td></td>
<td>&gt;50 years</td>
<td>19</td>
<td>8</td>
<td>30</td>
</tr>
<tr>
<td>Tumour size (T)</td>
<td>T1-2</td>
<td>26</td>
<td>11</td>
<td>30</td>
</tr>
<tr>
<td></td>
<td>T3</td>
<td>2</td>
<td>1</td>
<td>33</td>
</tr>
<tr>
<td>Number of involved lymph nodes</td>
<td>≤3</td>
<td>19</td>
<td>4</td>
<td>17</td>
</tr>
<tr>
<td></td>
<td>&gt;3</td>
<td>10</td>
<td>8</td>
<td>44</td>
</tr>
<tr>
<td>Grade</td>
<td>G1-2</td>
<td>13</td>
<td>9</td>
<td>41</td>
</tr>
<tr>
<td></td>
<td>G3</td>
<td>16</td>
<td>3</td>
<td>16</td>
</tr>
<tr>
<td>ER</td>
<td>Negative</td>
<td>9</td>
<td>1</td>
<td>10</td>
</tr>
<tr>
<td></td>
<td>Positive</td>
<td>20</td>
<td>11</td>
<td>35</td>
</tr>
<tr>
<td>PR</td>
<td>Negative</td>
<td>11</td>
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<td>8</td>
</tr>
<tr>
<td></td>
<td>Positive</td>
<td>18</td>
<td>11</td>
<td>38</td>
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<td>HER2</td>
<td>Negative</td>
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</tr>
<tr>
<td></td>
<td>Positive</td>
<td>9</td>
<td>4</td>
<td>31</td>
</tr>
</tbody>
</table>
enchymal status in 63% in LNM vs 63% in PT, \( p=1.00 \), Fig. S1B at https://ojs.ptbioch.edu.pl/index.php/abp/)

Clinical significance of EMT plasticity during metastatic colonization

To evaluate more closely the change in the EMT status between N+ PT and LNM in different molecular subtypes, we evaluated the EMT plasticity which we defined as the occurrence of any EMT phenotypic switch between N+ PT and LNM compartment. In luminal cancers, 36% of the samples had discordant EMT activation status (Fig. 2A; usually a switch from mesenchymal status in PT to epithelial in LNM – 30% of the cases), whereas in the TNBC no PT-LNM discordance occurred (Fig. 2A). Calculated Cohen’s kappa, which measures agreement between the EMT status of PT and LNM, showed perfect concordance in TNBC subtype (\( k=1 \)), but fair agreement in luminal cancers (\( \kappa=0.264 \), Fig. 2A). This indicates greater EMT plasticity in luminal than in TNBC tumours during lymphatic spread (Fig. 2B).

EMT plasticity was associated with the presence of progesterone receptors (\( p=0.07 \)), higher number of involved lymph nodes (\( p=0.06 \)), and lower tumour grade (\( p=0.08 \)), with 41% of the tumours showing EMT plasticity in the G1-G2 group, in comparison to 16% of the tumours with low differentiation (G3) (\( p=0.08 \); Table 1). EMT plastic tumours showed better overall survival than tumours which did not change EMT status between N+ PT and LNM, though the results did not reach statistical significance (\( p=0.34 \), Fig. 2C). At the same time, the EMT status of PT or LNM was not affecting the overall survival of the patients (Fig. S2A and S2B at https://ojs.ptbioch.edu.pl/index.php/abp/).

Interestingly, we observed that the EMT status of PT was related to differences in cell division rate depending on the molecular subtype of the tumour. In TNBC, mesenchymal phenotype of the PT resulted in 4.5-times higher cell division rate than in the epithelial phenotype (median Ki-67 – 45% in mesenchymal PT vs 10% in epithelial PT, \( p=0.002 \); Fig. 3A). Similar observation was made in the LNM (median Ki-67 – 20% in mesen-

![Figure 4. Differentially expressed immune-related genes between breast cancer samples of epithelial (EPI) and mesenchymal (MES) EMT phenotype assessed in PT N– (A), PT N+ (C) and LNM (E).](image-url)

Only significantly up- (log2FC≥1) and down-regulated (log2FC≤–1) DEGs are presented. Differences in median normalized counts between groups were analysed with the Mann–Whitney U test; \( *p<0.05 \), \( **p<0.01 \); the bars correspond to the interquartile range (IQR), the whiskers cover 1.5 IQR from the median. Top-enriched GO BP and Reactome terms among DEGs in each group of tissues – PT N– (B), PT N+ (D) and LNM (F). These were established using the Functional Annotation Tool by DAVID Bioinformatics Resources 6.81. Terms are plotted against fold enrichment and arranged in ascending order by \( p \)-value; dot size represents the number of genes associated with a given term, while dot colour represents the \( p \)-value.
chymal LNM vs 6% in epithelial LNM, \( p<0.02 \), Fig. 3B). No such differences were observed in PT or LNM of the luminal subtype (Fig. 3A and B).

**Tumour stroma profiling in PT and LNM in the context of EMT activation**

EMT can be induced by tumour microenvironment, and also by immune cells which secrete potent EMT inducers, like interferon gamma (IFNγ) (Cohen et al., 2015), tumour necrosis factor alpha (TNFa) (Cohen et al., 2015), interleukin 6 (IL-6) (Cohen et al., 2015), and transforming growth factor beta (TGFβ) (Pang et al., 2016; Kariche et al., 2019). Therefore, we have asked if there are differences in the immunotranscriptome of PT and LNM, which show EMT activation vs those with no signs of EMT. The analysis was performed separately for N- and N+ PTs, as there were differences in EMT activation in these two groups as assessed by IHC (Fig. 1B), and also for N+ PT and LNM, which are an exact matched set originating from the same patients, therefore reflecting spatial and temporal changes of the tumour.

In the case of the N– PT, 5 genes were significantly down-regulated (log2FC≤-1) in mesenchymal, when compared to epithelial tumours, with just one gene (CD164) showing a significantly higher expression (log2FC≥1) in mesenchymal N– PT (Fig. 4A). In terms of Gene Ontology (GO), a substantial change in inflammatory response was observed (Fig. 4B), however, the genes identified as differentially expressed between epithelial and mesenchymal N– PT showed an ambiguous role in inflammation, possibly reflecting heterogeneous composition of the tumour stroma. Sialomucin CD164, the only gene significantly upregulated in N– PT of the mesenchymal phenotype, was shown to drive the mesenchymal-epithelial transition when expressed in lung cancer cells (Chen et al., 2017), thus possibly playing a role in reverting aggressive mesenchymal phenotype of cancer cells to the epithelial phenotype.

In the N+ PT, the most significantly differentially expressed genes, with higher expression in mesenchymal PT, were MHC II molecules – HLA-DQA1 (log2FC=8.38) and HLA-DQB1 (log2FC=6.49, Fig. 4C), which was also reflected in the GO analysis, showing top enriched terms being related to antigen processing and presentation or T-cell associated signalling (Fig. 4D). At the same time, we have observed that mesenchymal PT from N+ patients had higher stroma content than epithelial tumours (Fig. S3 at https://ojs.ptbioch.edu.pl/index.php/abp/), which could indicate that the mesenchymal phenotype of PT is associated with higher infiltration of antigen presenting cells.

LNM showed only one significantly differentially expressed gene, which had higher expression in the epithelial than mesenchymal samples – IFTM2 (Interferon Induced Transmembrane Protein 2, log2FC=1.11, Fig. 4E). As IFTM2 is an interferon-inducible gene, its increased expression suggests high interferon levels in epithelial LNM. Similarly, GO analysis showed TIR-domain-containing adapter-inducing IFNβ (TRIF)-dependent signalling pathway enrichment (Fig. 4F), which triggers production of type I interferon, especially interferon beta (IFNβ) (Yamamoto et al., 2003).

Full list of differentially expressed genes and associated GO is shown in Table S2 and Table S3 at https://ojs.ptbioch.edu.pl/index.php/abp/, respectively.
mammary cells with a mesenchymal phenotype (Doherty et al., 2017) and repression of aggressive stem cell phenotype in BC (Doherty et al., 2019), it would explain increased TRIF-dependent signalling in LNM with a less malignant epithelial phenotype.

Change in the EMT status of cancer cells might be a sign of cancer cells responsiveness to changes in the microenvironment, which can influence the EMT phenotype of the tumours (Quail & Joyce, 2013; Hussain et al., 2020). During tumour progression changes in the microenvironment occur, which could exert different EMT-induction potential in cancer cells (Sica et al., 2008; Hussain et al., 2020; Westergaard et al., 2020). By profiling immunotranscriptome of the BC samples we showed that there was no overlap in differentially expressed genes between epithelial and mesenchymal phenotypes in neither of the compartments (PT vs LNM) and stages of tumour progression (N– PT vs N+ PT). This might reiterate heterogeneity in EMT-inducing factors, which can be different in dynamically changing tumour microenvironment (Whiteside, 2008; Binnewies et al., 2018). Two of the most significantly differentially expressed genes, increased in mesenchymal N+ PT, were HLA-DQA1 and HLA-DQB1, being part of MHC class II antigen presentation complex. In vitro studies showed that macrophages, which are antigen presenting cells expressing MHC class II (Cruse et al., 2004), can induce EMT in luminal BC cell lines (Bednarczyk et al., 2018) and other cancers (Bonde et al., 2012).

Though the reverse to the EMT process of mesenchymal-epithelial transition is believed to be required for effective proliferation (Gao et al., 2012; Ocaña et al., 2012), this might not be the case in all molecular subtypes. We found that in TNBC, activation of the EMT program resulted in a significantly increased proliferation of PT and LNM. This would mean that in TNBC colonization can be triggered by EMT. Results presented by Xu et al. indicated that EMT induction in TNBC cancer cell line MDA-MB-231 induces Plasminogen Activator Inhibitor-1 (PAI-1) expression, which increases proliferation of cancer cells (Xu et al., 2018). Unfortunately, we were unable to profile TNBC with a Nanostar panel, thus immunological changes connected with these features could not be assessed. Another limitation of our study is a small sample size, especially for TNBC. Further studies on an extended set of samples are required to investigate the role of EMT in BC molecular subtypes in more detail. We predict that spatial transcriptomics could give more detailed insight into the heterogeneity of EMT status activation in PT/LNM samples and will allow to study the interaction of cancer cells and the immune cells with higher resolution.

To summarize, our results show that in breast cancer EMT activation is connected with progression of luminal PT from non-colonizing (N–) to colonizing stage (N+), and in the TNBC EMT enhances proliferation of cancer cells. Moreover, our data underline the complexity of stroma-related factors in inducing/maintaining EMT in cancer cells at different stages of cancer progression, pointing to a role of antigen presenting cells in supporting mesenchymal phenotype of N– PT.

REFERENCES


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